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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:

Applicants : LeRoy D Dickson, et al.  
Application Serial No.: 09/965,123  
Filing Date : September 27, 2001  
Title : DOE-BASED SYSTEMS AND DEVICES FOR PRODUCING  
LASER BEAMS HAVING MODIFIED BEAM  
CHARACTERISTICS  
Examiner : not yet assigned  
Group Art Unit : 2872  
Attorney Docket No. : 108-010USANA0

Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION/  
FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Application/Filing Date Granted, mailed in the present Application on November 2, 2001, Applicants submit the following documents for filing in the same:


- Copy of Combined Declaration of Inventorship and Power of Attorney for Application Serial No. 09/071,512;
- Request for Approval of Proposed Changes to the Drawings;
- Eight (8) sheets of Redmarked Drawings;
- Submission of Formal Drawings;
- Sixty (60) sheets of Formal Drawings;
- Part 2 of Notice Of Missing Parts Of Application/Filing Date Granted; and
- Return Receipt Postcard.

Enclosed in payment of the requisite filing fees is Thomas J Perkowski, Esq. PC Check No. 2599 in the amount of \$870.00. The Commissioner is hereby authorized to charge any fee deficiencies or credit any overpayments to Deposit Account 16-1340. A copy of this document is enclosed herewith.

Response to Notice to File Missing Parts/  
Filing Date Granted  
Application No. 09/965,123

Respectfully submitted,

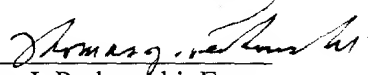
Dated: January 2, 2002

  
Thomas J. Perkowski, Esq.  
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CERTIFICATE OF FIRST CLASS MAIL  
UNDER 37 CFR 1.08

I hereby certify that this correspondence  
is being deposited with the United States Postal Service  
on January 2, 2002, as First Class Mail,  
in a prepaid postage envelope  
addressed to:

Commissioner of Patents and  
Trademarks  
Washington, D.C. 20231

  
Thomas J. Perkowski, Esq.  
Dated: January 2, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,123	09/27/2001	LeRoy Dickson	108-010USANA0

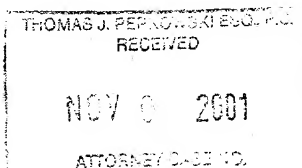
CONFIRMATION NO. 2028

## FORMALITIES LETTER



\*OC000000007015868\*

Thomas J. Perkowski, Esq.  
Thomas J. Perkowski, Esq., P.C.  
Soundview Plaza  
1266 East Main Street  
Stamford, CT 06902



Date Mailed: 11/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/29/2002 BNGUYEN1 00000036 09965123

01 FC:101 740.00 OP  
02 FC:105 130.00 OP

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]